

The Postal Network: A Recursive Network for Parameterized Communication Model*

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Abstract

The postal network is an interconnection network that possesses many desirable properties in network design and applications. It includes hypercubes and Fibonacci cubes as its special cases. Basically, the postal network forms a series (with series number λ) that is based on the sequence $N_\lambda(n) = N_\lambda(n-1) + N_\lambda(n-\lambda)$, where n is the dimension and $N_\lambda(n)$ represents the number of nodes in an n -dimensional postal network in series λ . In this paper, we study topological properties of postal networks and relationships between different postal networks. One application of postal networks is also shown in implementing barrier synchronization using a special spanning tree called a postal tree. The postal network can also be considered as a flexible version of the hypercube by relaxing the restriction on the number of nodes, and hence, makes it possible to construct multicomputers with arbitrary sizes.

Index terms – Barrier synchronization, embeddings, Fibonacci cubes, hypercubes, interconnection networks, multicomputers, postal model, routing algorithms.

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1 Introduction

The use of undirected graphs as interconnection topologies for large multicomputer systems has been an active research area in the past decades. Many graph structures have emerged as attractive interconnection topologies. The hypercube has been a popular topology because of its strong connectivity, regularity, symmetry, and ability to embed many other topologies. Numerous research projects have been undertaken related to hypercube design and applications.

Unfortunately, the number of nodes 2^n in an n -dimensional hypercube grows rapidly as n increases. This limits considerably the choice of the number of nodes in the graph. The Fibonacci cube (*FC*) proposed by Hsu [6] is a special subcube of a hypercube based on Fibonacci numbers [5]. It has been shown that the Fibonacci cube can efficiently emulate many hypercube algorithms. Fibonacci cubes use fewer links than comparable hypercubes and their size does not increase as fast as hypercubes. The structural analysis of the Fibonacci cube has been extensively studied in [2], its applications in [3], and its extensions in [11]. A Fibonacci cube can also be viewed as resulting from a complete hypercube after some nodes become faulty and the system is reconfigured.

In this paper, we propose a series of network topologies called *postal networks* with their names coming from the *postal model* [1] of communication. Postal networks include both hypercubes and Fibonacci cubes as special cases. Basically, the n -dimensional postal network in series λ , $PN_\lambda(n)$, is based on the generalized Fibonacci sequence $N_\lambda(n) = N_\lambda(n - 1) + N_\lambda(n - \lambda)$. The postal network series can also be considered as a flexible version of the hypercube by relaxing the restriction on the number of nodes, and hence, makes it possible to construct multicomputers with arbitrary sizes. Like Fibonacci cubes, postal networks can also be viewed as resulting from a hypercube after some nodes become faulty. Therefore, the postal network not only allows the construction of multicomputers of arbitrary sizes but also exposes the nature of hypercubes operating in a gracefully degraded mode.

We show that the postal network series still maintains many desirable properties of hypercubes, such as existence of a Hamming distance path between any two nodes and a simple routing algorithm. Moreover, postal networks support efficient collective communication using the postal model [1] which can fine tune the communication structure based on network latency in the underlying system. A similar architecture-dependent model called *parameterized communication model* has been studied in [10] to achieve optimal multicasting. A message-length-dependent model called *packetization* can be modeled in a similar

way. In this model, long messages are packetized (partitioned into packages) and transmitted. Specifically, the postal model incorporates a latency parameter λ measuring the reverse of the ratio between the time it takes an originator of a message to send it and the time that passes until the recipient of the message receives it. If $N_\lambda(n)$ represents the maximum number of nodes that can be reached in time n on a one-port model exhibiting λ . Then the following equation holds:

$$N_\lambda(n) = \begin{cases} N_\lambda(n-1) + N_\lambda(n-\lambda), & \text{if } n \geq \lambda \\ 1, & \text{otherwise} \end{cases}$$

Therefore, if λ in the postal network is selected based on the given latency parameter in the underlying network, efficient broadcast and gather operations can be carried out, i.e., a broadcast (gather) operation can be done in a minimum number of steps. Note that both operations are important collective communication primitives and they can be used to implement other collective communications, such as barrier synchronization.

The main features of the postal network can be summarized as follows:

- The series of postal networks allows more choices in constructing systems of different sizes.
- Postal networks can efficiently embed other popular topologies, for example, postal networks contain both hypercubes and Fibonacci cubes as its special cases.
- Nodes in postal networks can be addressed in a simple way (like in hypercubes) such that efficient routing can be supported.
- The series number λ can be carefully selected to match the latency parameter in the underlying communication network to support efficient collective communication.

Our study focuses on topological properties and communication aspects of the postal network. Relationships between different postal networks are also studied. The purpose of this study is not just to propose a “new” interconnection network, but to extend the existing ones such as hypercubes and Fibonacci cubes. We try to gain some insights on these networks, operated under certain relaxed conditions and/or degraded modes, by studying their topological properties, routing capability, and the ability of simulating other structures through embedding.

This paper is organized as follows: Section 2 defines the postal network series $PN_\lambda(n)$. Section 3 studies topological properties of the postal network and its embedability. Section 4

studies routing capabilities and proposes an adaptive and minimal unicasting algorithm for the postal network. A tree-based implementation of barrier synchronization is also shown. Section 5 discusses the relationships between postal networks, hypercubes, and Fibonacci cubes through embedding. Section 6 considers one application using a special spanning tree called a postal tree to implement barrier synchronization. The selection of λ based on network latency is also discussed. Finally, in Section 7, we present conclusions and discuss possible future work.

2 Postal Networks

Normally, a graph model is used to represent a point-to-point multicomputer topology. We use graph $G = (V, E)$ to represent an interconnection network, where V is a vertex set with each element representing a processor (also called a node) and E is an edge set with each element corresponding to a communication link connecting two nodes. Let $b_{(m)}$ represent m consecutive bits of b ; for example, $0_{(4)} = 0000$, and symbol $\|$ denote a concatenation operation; for example, $01\|\{0, 1\} = \{010, 011\}$ and $0_{(2)}1\|\{01, 10\} = \{00101, 00110\}$.

Definition 1: Assume that graphs $PN_\lambda(n) = (V_\lambda(n), E_\lambda(n))$, $PN_\lambda(n - 1) = (V_\lambda(n - 1), E_\lambda(n - 1))$, and $PN_\lambda(n - \lambda) = (V_\lambda(n - \lambda), E_\lambda(n - \lambda))$. Then $V_\lambda(n) = 0\|V_\lambda(n - 1) \cup 10_{(\lambda-1)}\|V_\lambda(n - \lambda)$ for $n > \lambda$. As initial conditions for recursion, $V_\lambda(n) = \{0_{(n)}, 0_{(n-1)}1, \dots, 010_{(n-2)}, 10_{(n-1)}\}$, $1 \leq n \leq \lambda$. Two nodes in $PN_\lambda(n)$ are connected by an edge in $E_\lambda(n)$ if and only if their labels differ in exactly one bit position.

A $PN_\lambda(n)$ is called a *postal network* with dimension n and series number λ . The number of bits in a node address is the same as its dimension. Figure 1 shows examples of $PN_3(n)$ for $n = 1, 2, 3, 4, 5$. A PN_3 of dimension n consists of one PN_3 of dimension $n - 1$ and one PN_3 of dimension $n - 3$. Figure 2 shows examples of $PN_4(n)$ for $n = 1, 2, 3, 4, 5, 6$.

3 Topological Properties

In this section, we study topological properties of postal networks and focus on properties that are related to hypercubes and Fibonacci cubes.

Theorem 1: A $PN_1(n)$ is an n -dimensional hypercube $Q(n)$ and a $PN_2(n)$ is an n -dimensional Fibonacci cube $FC(n)$.

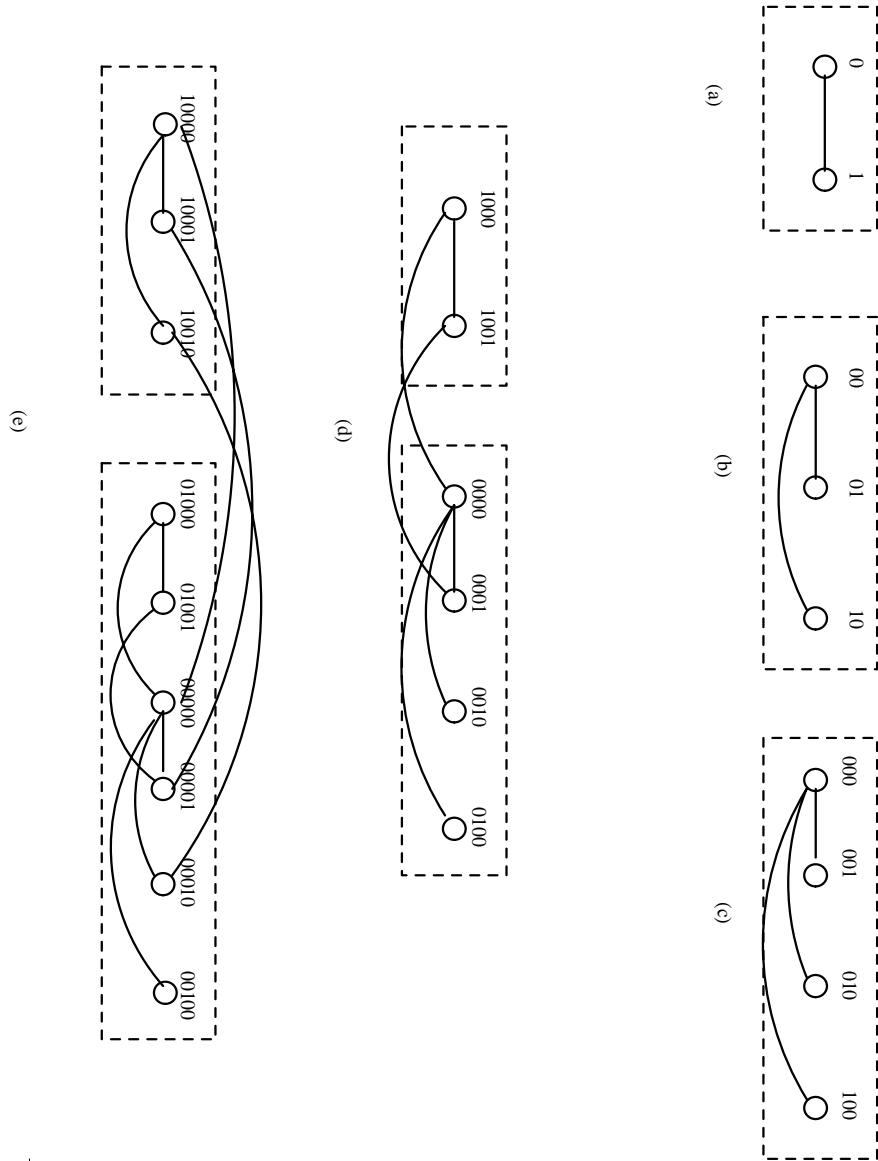


Figure 1: Postal network PN_3 : (a) $n = 1$, (b) $n = 2$, (c) $n = 3$, (d) $n = 4$, (e) $n = 5$.

Proof: When $\lambda = 1$, $V_1(n) = 0\|V_1(n-1) \cup 1\|V_1(n-1)$. Therefore, $PN_1(n)$ matches exactly the definition of the n -dimensional hypercube $Q(n)$. Similarly, when $\lambda = 2$, $V_2(n) = 0\|V_2(n-1) \cup 10\|V_2(n-2)$. Recall that the Fibonacci cube is defined as follows [6]: Assume that graphs $FC(n) = (V(n), E(n))$, $FC(n-1) = (V(n-1), E(n-1))$, and $FC(n-2) = (V(n-2), E(n-2))$. Then $V(n) = 0\|V(n-1) \cup 10\|V(n-2)$. Two nodes in $FC(n)$ are connected by an edge in $E(n)$ if and only if their labels differ in exactly one bit position. As initial conditions for recursion, $V(2) = \{\}$, $V(3) = \{0, 1\}$. Therefore, $PN_2(n)$ also matches the definition of the n -dimensional Fibonacci cube $FC(n)$. ■

Despite its asymmetric structure, the postal network still maintains many desirable properties from the hypercube network. Based on the definition of the postal network, the vertex set $V_\lambda(k)$ of $PN_\lambda(k)$ can be partitioned into $10_{(\lambda-1)}\|V_\lambda(k-\lambda)$ and $0\|V_\lambda(k-1)$. The following lemma shows the relationship between these two vertex sets.

Lemma: *For each node in $10_{(\lambda-1)}\|V_\lambda(k-\lambda)$ there is exactly one neighbor in $0\|V_\lambda(k-1)$, i.e., the addresses of these two nodes differ in exactly one bit.*

Proof: Randomly pick a node in $10_{(\lambda-1)}\|V_\lambda(k-\lambda)$. This node comes from a node in $V_\lambda(k-\lambda)$ with $10_{(\lambda-1)}$ attached in front. Based on the recursive definition of the postal network, $0_{(\lambda-1)}\|V_\lambda(k-\lambda)$ is a subset of $V_\lambda(k-1)$ and it always appears as the first term of the recursive definition of $V_\lambda(k-1)$ until $V_\lambda(k-1)$ is resolved into $V_\lambda(k-\lambda)$. Therefore, there is at least one node in $0\|V_\lambda(k-1)$ that is the neighbor of the selected node in $10_{(\lambda-1)}\|V_\lambda(k-\lambda)$. In addition, each node in $10_{(\lambda-1)}\|V_\lambda(k-\lambda)$ has exactly one neighbor in $0\|V_\lambda(k-1)$, because the address of each node in $10_{(\lambda-1)}\|V_\lambda(k-\lambda)$ starts with 1 while the one in $0\|V_\lambda(k-1)$ starts with 0. ■

The above lemma can be easily verified through examples. In Figure 1 (e), the vertex set of $V_3(5)$ can be partitioned into $0\|V_3(4)$ (the first term) and $100\|V_3(2)$ (the second term). For each node in the second term, there is exactly one neighbor in the first term.

Theorem 2: *There exists a Hamming distance path for any two nodes in $PN_\lambda(n)$.*

Proof: We prove this theorem by induction on n for any λ . We first show that this theorem holds for $n = 1, 2, \dots, \lambda$. Since each of these networks is a two-level tree that contains n nodes: $0_{(n)}, 0_{(n-1)}1, \dots, 010_{(n-2)}, 10_{(n-1)}$. Obviously, the root node $0_{(n)}$ directly connects to all the other nodes. Since any two leaf nodes differ in two bits, they are two Hamming distance apart and a Hamming distance path exists between any two leaf nodes, since each leaf node can reach another leaf node via the root node.

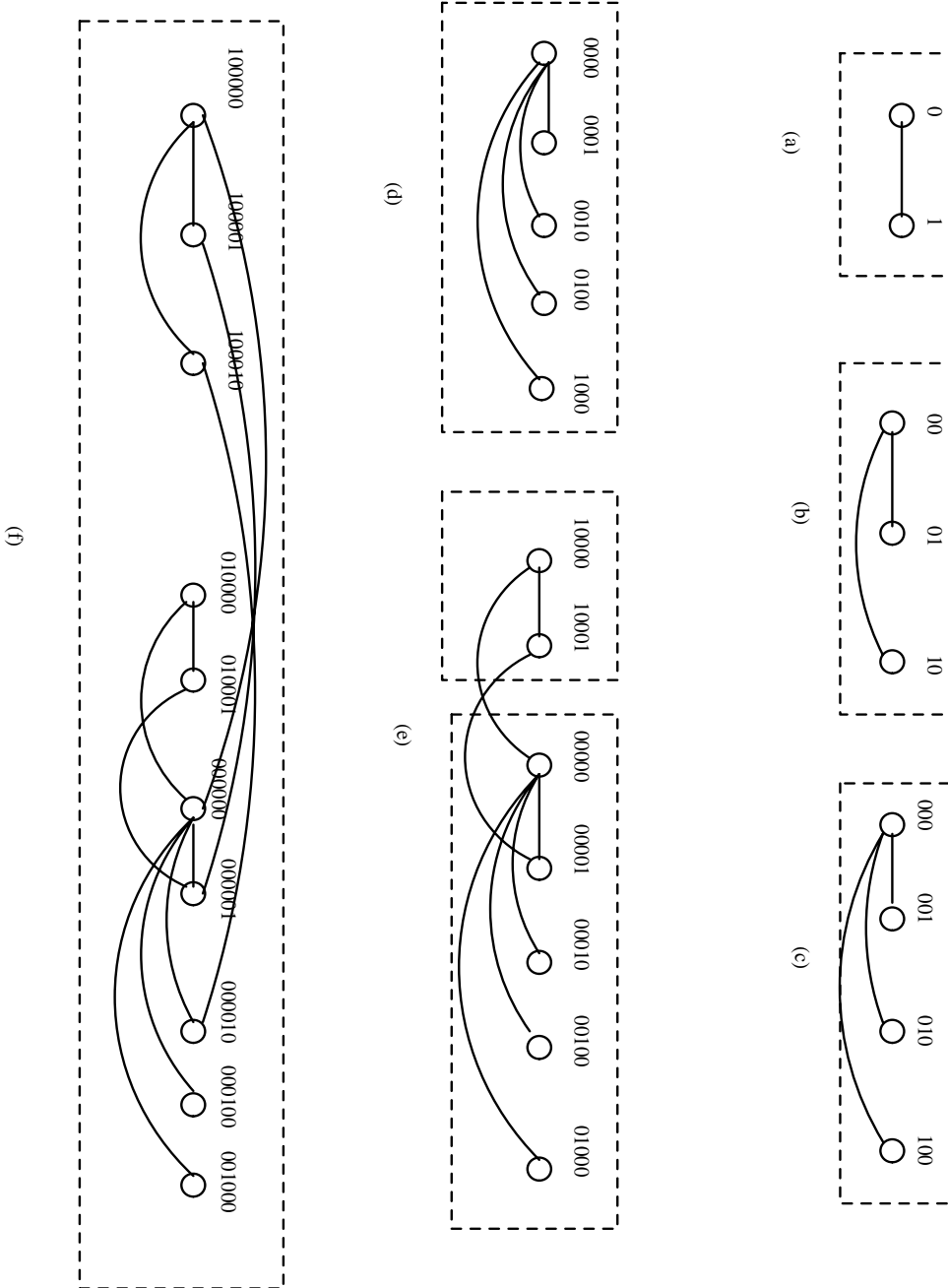


Figure 2: Postal network PN_4 : (a) $n = 1$, (b) $n = 2$, (c) $n = 3$, (d) $n = 4$, (e) $n = 5$, (f) $n = 6$.

Assume that this theorem holds for all $n < k$ (for $k > \lambda$, since we have proved the theorem for $n = 1, 2, \dots, \lambda$). When $n = k$, $V_\lambda(k) = 0\|V_\lambda(k-1) \cup 10_{(\lambda-1)}\|V_\lambda(k-\lambda)$. Therefore, nodes in $V_\lambda(k)$ can be partitioned into $0\|V_\lambda(k-1)$ and $10_{(\lambda-1)}\|V_\lambda(k-\lambda)$.

We randomly select two nodes, if both nodes belong to $0\|V_\lambda(k-1)$ (or $10_{(\lambda-1)}\|V_\lambda(k-\lambda)$) this theorem holds based on the induction assumption. We only need to consider cases when one node is in $0\|V_\lambda(k-1)$ and the other is in $10_{(\lambda-1)}\|V_\lambda(k-\lambda)$. Based on Lemma, for each node in $10_{(\lambda-1)}\|V_\lambda(k-\lambda)$ there is exactly one neighbor in $0\|V_\lambda(k-1)$. The Hamming distance path can be constructed recursively based on the following two cases:

(1) If the source is in $10_{(\lambda-1)}\|V_\lambda(k-\lambda)$, the first link in the path should connect the source to its neighbor in $0\|V_\lambda(k-1)$. The remaining links in the path can be determined recursively in $0\|V_\lambda(k-1)$.

(2) If the source is in $0\|V_\lambda(k-1)$, we first determine a Hamming distance path within $0\|V_\lambda(k-1)$ that connects the source to the neighbor of the destination in $0\|V_\lambda(k-1)$. The last link connects the neighbor of the destination in $0\|V_\lambda(k-1)$ to the destination node which is in $10_{(\lambda-1)}\|V_\lambda(k-\lambda)$. ■

Note that the above proof does not provide a constructive routing procedure unless the source and intermediate nodes both belong to the second term $10_{(\lambda-1)}\|V_\lambda(k-\lambda)$ of the recursive expression.

Theorem 3: *The diameter of $PN_\lambda(n)$ is the following:*

$$d(PN_\lambda(n)) = \begin{cases} n & \lambda = 1 \\ 2\lceil \frac{n}{\lambda} \rceil - 1 & \lambda \neq 1 \text{ and } (n-1 \bmod \lambda) = 0 \\ 2\lceil \frac{n}{\lambda} \rceil & \lambda \neq 1 \text{ and } (n-1 \bmod \lambda) \neq 0 \end{cases}$$

Proof: When $\lambda = 1$, $PN_\lambda(n)$ is a hypercube $Q(n)$ which has a diameter of n . We only need to consider cases when $\lambda \neq 1$, i.e., there are no adjacent 1 bits in a node address. Based on the recursive definition of the postal network, the maximum number of 1 bits in a node address increases by one when n increases by λ , i.e., the distance between two 1 bits is at least λ and there are at most $\lceil \frac{n}{\lambda} \rceil$ 1 bits in a node address. In the extreme case, the positions of 1 bits in two nodes are all different. When $(n-1 \bmod \lambda) \neq 0$, it is possible that both nodes have $\lceil \frac{n}{\lambda} \rceil$ 1 bits. When $(n-1 \bmod \lambda) = 0$, only one node may have $\lceil \frac{n}{\lambda} \rceil$ bits and the other node may have at most $\lceil \frac{n}{\lambda} \rceil - 1$ 1 bits at different bit positions. The above two situations correspond to two nodes that have the longest Hamming distance in the network,

i.e., their distance corresponds to the diameter of the network. ■

Based on the above result, the diameter of a postal network is a fraction of its dimension n . However, postal networks with a large λ contain fewer nodes than the ones with a small λ under the same dimension condition. The number of nodes and links in a given postal network is given in the following theorem.

Theorem 4: *Let $N_\lambda(n)$ and $L_\lambda(n)$ be the number of nodes and links in $PN_\lambda(n)$, respectively, then*

$$N_\lambda(n) = \begin{cases} n + 1 & n \leq \lambda \\ N_\lambda(n - 1) + N_\lambda(n - \lambda) & n > \lambda \end{cases}$$

and

$$L_\lambda(n) = \begin{cases} n & n \leq \lambda \\ L_\lambda(n - 1) + L_\lambda(n - \lambda) + N_\lambda(n - \lambda) & n > \lambda \end{cases}$$

Proof: The expression for $N_\lambda(n)$ is straightforward from the definition of the postal network. The number of links $L_\lambda(n)$ in $PN_\lambda(n)$ is the summation of the number of links $L_\lambda(n - 1)$ in $PN_\lambda(n - 1)$ and the number of links $L_\lambda(n - \lambda)$ in $PN_\lambda(n - \lambda)$. In addition, we should include links that connect $PN_\lambda(n - 1)$ to $PN_\lambda(n - \lambda)$. Based on Lemma, we know that for each node in $PN_\lambda(n - \lambda)$ there exists exactly one neighbor in $PN_\lambda(n - 1)$. That is, exactly $N_\lambda(n - \lambda)$ links exist, each of which connects one node in $PN_\lambda(n - 1)$ to one node in $PN_\lambda(n - \lambda)$. ■

Note that $N_\lambda(n)$ belongs to a recurrence relation of form

$$f(n) = C_0N(n) + C_1N(n - 1) + C_2N(n - 2) + \cdots + C_\lambda N(n - \lambda)$$

where C_i 's are constants. It is also called a *linear recurrence relation with constant coefficients*. It is also known as a *λ th-order recurrence relation*. Assume that r_i 's are distinct roots of the *characteristic equation*

$$C_0N^\lambda + C_1N^{\lambda-1} + C_2N^{\lambda-2} + \cdots + C_\lambda = 0 \tag{1}$$

Then

$$N(n) = A_1r_1^n + A_2r_2^n + A_3r_3^n + \cdots + A_\lambda r_\lambda^n \tag{2}$$

where $A_1, A_2, A_3, \dots, A_\lambda$ are constants which are to be determined by the boundary conditions, i.e., the known values of $N(i)$'s. For example, when $\lambda = 2$,

$$N_2(n) = N_2(n - 1) + N_2(n - 2)$$

Table 1: Number of nodes in $Q = PN_1$, $FC = PN_2$, PN_3 , and PN_4 .

k	1	2	3	4	5	6	7	8	9
$N_1(k)$	2	4	8	16	32	64	128	256	512
$N_2(k)$	2	3	5	8	13	21	34	55	89
$N_3(k)$	2	3	4	6	9	13	19	28	41
$N_4(k)$	2	3	4	5	7	10	14	19	26

The corresponding characteristic equation is which has the two distinct roots

$$r_1 = \frac{1 + \sqrt{5}}{2}, \quad r_2 = \frac{1 - \sqrt{5}}{2}$$

It follows that

$$N_2(n) = A_1 \left(\frac{1 + \sqrt{5}}{2} \right)^n + A_2 \left(\frac{1 - \sqrt{5}}{2} \right)^n$$

is the solution, where A_1 and A_2 are to be determined from the boundary conditions $N_2(1) = 1$ and $N_2(2) = 2$. Note that if the characteristic equation (1) has multiple roots (i.e., not all roots are distinct), $N(n)$ is calculated differently. See [9] for other details.

There is no general procedure for determining the solution of a difference equation, especially for a high-order equation. That is, for a large value of λ , a closed form expression for either $N_\lambda(n)$ or $L_\lambda(n)$ is unlikely. Tables 1 and 2 show $N_\lambda(n)$ and $L_\lambda(n)$ for different λ 's and n 's. From these tables, we can see that when series number λ increases, the increasing rates for the numbers of nodes and links both reduce. To estimate $N_\lambda(n)$, we have $N_\lambda(n) = N_\lambda(n-1) + N_\lambda(n-\lambda) \leq N_\lambda(n-1) + N_\lambda(n-1) \leq 2^n$. Also, we have $N_\lambda(n) = N_\lambda(n-1) + N_\lambda(n-\lambda) \geq N_\lambda(n-\lambda) + N_\lambda(n-\lambda) \geq 2^{\lfloor \frac{n}{\lambda} \rfloor}$. Combining the above two conditions, we have

$$2^{\lfloor \frac{n}{\lambda} \rfloor} \leq N_\lambda(n) \leq 2^n$$

Furthermore, we can obtain a tighter upper bound on $N_\lambda(n)$ when $\lambda > 1$ as given in the following theorem. The theorem indicates that as λ gets larger, the number of nodes in a $PN_\lambda(n)$, $N_\lambda(n) = O\left(\left(1 + \frac{1}{\sqrt{\lambda-1}}\right)^{n-1}\right)$, becomes much smaller than that of a hypercube, $N_1(n) = 2^n$.

Table 2: Number of links in $Q = PN_1$, $FC = PN_2$, PN_3 , and PN_4 .

k	1	2	3	4	5	6	7	8	9
$L_1(k)$	1	4	12	32	80	192	448	1024	2304
$L_2(k)$	1	2	5	10	20	38	71	130	235
$L_3(k)$	1	2	3	6	11	18	30	50	81
$L_4(k)$	1	2	3	4	7	12	19	28	42

Theorem 5: When $\lambda > 1$,

$$N_\lambda(n) \leq (\lambda + 1) \left(1 + \frac{1}{\sqrt{\lambda - 1}}\right)^{n-1}$$

Proof: We prove this theorem by induction on n for any given $\lambda > 1$. First, for $1 \leq n \leq \lambda$, we have

$$N_\lambda(n) = n + 1 \leq \lambda + 1 \leq (\lambda + 1) \left(1 + \frac{1}{\sqrt{\lambda - 1}}\right)^{n-1}$$

Now, suppose the upper bound holds for $n = k - 1, k - 2, \dots, k - \lambda$ ($k > \lambda$). We prove that it also holds for $n = k$. Note that

$$\begin{aligned} N_\lambda(k) &= N_\lambda(k - 1) + N_\lambda(k - \lambda) \leq (\lambda + 1) \left[\left(1 + \frac{1}{\sqrt{\lambda - 1}}\right)^{k-2} + \left(1 + \frac{1}{\sqrt{\lambda - 1}}\right)^{k-\lambda-1} \right] \\ &= (\lambda + 1) \left(1 + \frac{1}{\sqrt{\lambda - 1}}\right)^{k-\lambda-1} \left[\left(1 + \frac{1}{\sqrt{\lambda - 1}}\right)^{\lambda-1} + 1 \right] \end{aligned}$$

only need to show that

$$\left(1 + \frac{1}{\sqrt{\lambda - 1}}\right)^{\lambda-1} + 1 \leq \left(1 + \frac{1}{\sqrt{\lambda - 1}}\right)^\lambda$$

Or equivalently,

$$\left(1 + \frac{1}{\sqrt{\lambda - 1}}\right)^\lambda - \left(1 + \frac{1}{\sqrt{\lambda - 1}}\right)^{\lambda-1} \geq 1 \tag{3}$$

In fact, from the left hand side of (3), we have

$$\left(1 + \frac{1}{\sqrt{\lambda - 1}}\right)^{\lambda-1} \left(\frac{1}{\sqrt{\lambda - 1}}\right) \geq \left(1 + \frac{\lambda - 1}{\sqrt{\lambda - 1}}\right) \frac{1}{\sqrt{\lambda - 1}} \geq 1$$

Thus, Theorem 5 holds. ■

Similarly, we can estimate $L_\lambda(n)$. The following theorem gives the relationship between $L_\lambda(n)$ and $N_\lambda(n)$ and an upper bound on $L_\lambda(n)$.

Theorem 6: *When $\lambda > 1$,*

$$L_\lambda(n) < \left(\frac{n-2}{\lambda+1} + 1\right) N_\lambda(n) \leq (n + \lambda - 1) \left(1 + \frac{1}{\sqrt{\lambda-1}}\right)^{n-1}$$

Proof: We prove this theorem by induction on n for any given $n > 1$. First, when $n = 1$, $L_\lambda(1) = 1$ and $N_\lambda(1) = 2$. Clearly, $L_\lambda(n) < \left(\frac{n-2}{\lambda+1} + 1\right) N_\lambda(n)$ given $\lambda > 1$. When $2 \leq n \leq \lambda$, we have

$$L_\lambda(n) = N_\lambda(n) - 1 < \left(\frac{n-2}{\lambda+1} + 1\right) N_\lambda(n)$$

Now, suppose the upper bound holds for $n = k-1, k-2, \dots, k-\lambda$ ($k > \lambda$), we will prove that it also holds for $n = k$. Note that

$$\begin{aligned} L_\lambda(k) &= L_\lambda(k-1) + L_\lambda(k-\lambda) + N_\lambda(k-\lambda) \\ &< \left[\frac{(k-1)-2}{\lambda+1} + 1\right] N_\lambda(k-1) + \left[\frac{(k-\lambda)-2}{\lambda+1} + 1\right] N_\lambda(k-\lambda) + N_\lambda(k-\lambda) \\ &= \left(\frac{k-2}{\lambda+1} + 1\right) [N_\lambda(k-1) + N_\lambda(k-\lambda)] - \frac{1}{\lambda+1} [N_\lambda(k-1) + N_\lambda(k-\lambda)] \\ &< \left(\frac{k-2}{\lambda+1} + 1\right) [N_\lambda(k-1) + N_\lambda(k-\lambda)] \\ &= \left(\frac{k-2}{\lambda+1} + 1\right) N_\lambda(k) \end{aligned}$$

Thus, we have

$$L_\lambda(n) < \left(\frac{n-2}{\lambda+1} + 1\right) N_\lambda(n)$$

Moreover, by Theorem 5, we obtain an upper bound on $L_\lambda(n)$:

$$L_\lambda(n) < \left(\frac{n-2}{\lambda+1} + 1\right) (\lambda+1) \left(1 + \frac{1}{\sqrt{\lambda-1}}\right)^{n-1} = (n + \lambda - 1) \left(1 + \frac{1}{\sqrt{\lambda-1}}\right)^{n-1}$$

■

4 Routing

In this section, we study routing algorithms for the postal network. Efficient interprocessor communication is a key to the performance of a point-to-point multicomputer system. We consider here *unicasting*, which is a one-to-one communication between a source and a destination. Although the postal network is asymmetric, a simple routing algorithm can still be constructed based on the following result that determines whether a given bit sequence belongs to a node address in a postal network.

Theorem 7: *An n -bit sequence is the address of a node in $PN_\lambda(n)$ if and only if any two 1 bits (if any) are separated by at least λ bit positions.*

Proof: Let's consider a systematic way of generating all the possible n -bit sequences such that any two 1 bits (if any) are separated by at least λ bit positions. Assume that we have constructed $S_\lambda(i)$'s for all $i < n$, where $S_\lambda(i)$ stands for all the possible i -bit sequences such that any two 1 bits (if any) are separated by at least λ bit positions. Let's consider the leftmost bit (the 1st bit) of the nodes in $S_\lambda(n)$: If it is 0, then the number of different arrangements for the rest of $n - 1$ bits should all be in $S_\lambda(n - 1)$. If it is 1, then based on the constraint that any two 1 bits must be separated by at least λ bit positions, the next $\lambda - 1$ bits must be all 0's. The number of different arrangements for the rest of $n - \lambda$ bits should all be in $S_\lambda(n - \lambda)$. Based on the above observation, it is clear that $PN_\lambda(n)$ and $S_\lambda(n)$ are the same. ■

The above result provides a simple way of defining a postal network, and more importantly, it offers a simple way of generating all the nodes in a postal network. For example, nodes in $PN_4(6)$ are 000000, 000001, 000010, 000100, 001000, 010000, 100000, 010001, 100001, 100010. Among these nodes, the node addresses have two 1 bits or less that are separated by at least 4 bit positions. Consider $PN_2(6)$ as another example. $PN_2(6)$ includes all the nodes of $PN_4(6)$. In addition, it has 000101, 001001, 001010, 010010, 010100, 100100, 101000, 010101, 100101, 101001, 101010. We use $distance_1(node)$ to represent minimum distance between 1 bits in a given node address. When there is at most one 1 bit in a node address, the $distance_1$ of the corresponding node is ∞ . For example, $distance_1(000100) = \infty$, $distance_1(010001) = 4$, and $distance_1(100101) = 2$.

We consider here adaptive and minimal routing. An adaptive routing algorithm allows all messages to use any minimal paths. The challenge is to exploit all the possible routes while still keeping routing distance minimum. Since the postal network can be considered

as an incomplete hypercube with several missing nodes, the traditional dimension-ordered routing is no longer applicable here. For example, consider two nodes 01000 (the source s) and 10010 (the destination d), the exclusive-or of their addresses is $01000 \oplus 10010 = 11010$. If the dimensions are resolved following an increasing order of dimensions: 1, 2 and 4, an illegal intermediate node 11000 (with two neighboring 1 bits) will be generated in the corresponding path: $01000 \rightarrow 11000 \rightarrow 10000 \rightarrow 10010$. Similarly an illegal intermediate node will be generated using a decreasing order of dimensions: 4, 2, and 1.

In order to avoid generating illegal intermediate nodes, we should ensure that each intermediate node is legal. That is, the distance between two 1 bits (if any) in the node address should be at least λ . To make the routing algorithm adaptive, no additional constraint is added, i.e., a dimension can be randomly selected as long as it meets the above requirement.

Consider a unicasting from s to d in $PN_\lambda(n)$. Let s^i denote complementing the i th bit of s , for example $10010^2 = 11010$, and $r(i)$ denote the i th bit of r . The adaptive and minimal routing algorithm for the postal network is the following:

For source node s with message m :

1. $r := s \oplus d$; /* calculate relative address r between s and d */
2. randomly select i such that $r(i) = 1$ and $distance_1(s^i) \geq \lambda$; /* select a neighbor */
3. **send** (m, r^i) to s^i .
/* send message m together with the updated relative address to the selected neighbor */

For all intermediate nodes t (including destination d):

1. **receive** (m, r) ; /* receive message m together with relative address r */
2. **if** $r = 0$ **then** node t is the destination and **stop**;
3. randomly select i such that $r(i) = 1$ and $distance_1(t^i) \geq \lambda$;
4. **send** (m, r^i) to t^i .

To carry out step 3 of the above procedure, we first select a neighbor. If the address of this neighbor meets the condition in Theorem 7 (this can be done in constant time), it is done; otherwise, another neighbor is selected. In the worst case, all the neighbors, except the last one, fail the condition. That is, if the current node is k distance away from the destination, it may need $k - 1$ selection steps. Thus, step 3 may need $O(n)$ time in the worst case.

Consider $(s, d) = (100010, 000001)$ with $r = 100011$ in $PN_4(6)$. At the first step, two legal neighbors (of s) are 100000 and 000010. 100011 is an illegal neighbor, since its $distance_1(10001) = 1$ which is less than $\lambda = 4$. During the second step, at node 100000, there are two choices of the next intermediate node: 100001 and 000000; at node 000010, there is only one choice which is node 000000. Therefore, three minimal routing paths can be generated:

$$100010 \rightarrow 100000 \rightarrow 100001 \rightarrow 000001$$

$$100010 \rightarrow 100000 \rightarrow 000000 \rightarrow 000001$$

$$100010 \rightarrow 000010 \rightarrow 000000 \rightarrow 000001$$

Note that if $distance_1(s \oplus d = r) \geq \lambda$, there is no constraint on selecting intermediate nodes. Routing will be the same as in a regular hypercube. For example, consider $(s, d) = (10000, 00001)$ in $PN_3(5)$, i.e., $\lambda = 3$. Clearly, $distance_1(s \oplus d = 10001) \geq 3$. The e-cube routing in hypercubes can be applied for this case. In fact, s and d are contained in 2-cube $*000*$, where $*$ is a don't care. $*000*$ contains four nodes 00000, 00001, 10000, and 10001. The following theorem shows a general case.

Theorem 8: *In a $PN_\lambda(n)$, if $distance_1(s \oplus d) \geq \lambda$ then s and d are two nodes in a k -cube, where $k \geq H(s, d)$.*

The above theorem can be easily derived from the above observation. To determine the smallest cube that contains s and d , assuming that $distance_1(s \oplus d) \geq \lambda$, one simple approach is to replace all the 1 bits in $s \oplus d$ by $*$ and the remaining bits are replaced by the corresponding bits in s (or d). For example, $s \oplus d = 11011 \oplus 01001 = 10010$, the smallest cube that contains s and d is $*10*1$.

In this section, we only focus on minimal routing. The approach can be easily extended to other routing strategies including non-minimal routing. For example in non-minimal routing, we can separate 1's (called *preferred dimensions*) from 0's (called *spare dimensions*) in $r = s \oplus d$. In minimal routing, only preferred dimensions are resolved by changing each of them to 0. In non-minimal routing, spare dimensions can also be used which are changed from 0's and 1's, but to reach the destination, these 1's still need to be changed back to 0's in the relative address. At each step, the $distance_1$ condition still needs to be enforced to ensure that each intermediate node is legal.

Consider a unicasting from $s = 100000$ to $d = 000000$ in PN_4 , $s \oplus d = 100000$, a non-minimal routing that uses preferred dimension 1 and spare dimension 6 generates the fol-

lowing path $100000 \rightarrow 100001 \rightarrow 000001 \rightarrow 000000$.

5 Embedding

In this section, we study relationships between regular hypercubes, Fibonacci cubes, and postal networks. Efficient embedding of a guest network G into a host network H is important in parallel/distributed processing, especially for a newly proposed network used as a host network. Not only do embedding results demonstrate computational equivalence (or near-equivalence) between networks of different topology, but efficient embeddings lead to efficient simulations of algorithms originally designed for G on host H .

Based on the definition of the postal network series, link connections follow the same rule: Two nodes are connected if and only if their addresses differ in exactly one bit position. Therefore, to show that one postal network contains another postal network as its subgraph, we only need to show that the vertex set of the former contains the vertex set of the latter. Specifically, a graph H contains a graph G if one of the following two conditions holds:

1. $V(H)$ contains $V(G)$.
2. $V'(H)$ contains $V(G)$, where $V'(H)$ is derived from $V(H)$ by removing certain bit positions of all the nodes in $V(H)$.

For example, $PN_2(4)$ contains $PN_3(4)$ based on Condition 1, because $V(PN_2(4)) = \{0000, 0001, 0010, 0100, 0101, 1000, 1001, 1010\}$ contains $V(PN_3(4)) = \{0000, 0001, 0010, 0100, 1000, 1001\}$. Also, $PN_2(4)$ contains $PN_2(3)$ based on Condition 2, because $V(PN_2(3)) = \{000, 001, 010, 100, 101\}$ is derived by removing either the 1st or 4th bit of all the nodes in $V(PN_2(4))$.

The following theorem shows relationships between postal networks within the same series.

Theorem 9: $PN_{\lambda_1}(n_1)$ contains $PN_{\lambda_1}(n_2)$ as its subgraph if and only if $n_1 > n_2$.

Proof: Based on the definition of the postal network, $PN_{\lambda_1}(n_1)$ contains $PN_{\lambda_1-1}(n_1 - 1)$. Clearly $PN_{\lambda_1}(n_1)$ also contains $PN_{\lambda_1-1}(n_2)$, where $n_1 > n_2$. ■

Theorem 9 states that for two postal networks in the same series, the one with a higher dimension contains the one with a lower dimension. For example, $PN_3(5)$ contains $PN_3(4)$

as shown in Figure 1. The following theorem shows that for a given dimension n_1 , a network with a smaller series number (with a smaller λ value) contains a network with a larger series number.

Theorem 10: $PN_{\lambda_1}(n_1)$ contains $PN_{\lambda_2}(n_1)$ as its subgraph if and only if $\lambda_1 < \lambda_2$.

Proof: We prove this theorem by induction. When $n_1 \leq \lambda_1$, $PN_{\lambda_1}(n_1) = PN_{\lambda_2}(n_1)$. When $\lambda_1 < n_1 \leq \lambda_2$, we can easily verify that $PN_{\lambda_1}(n_1)$ contains $PN_{\lambda_2}(n_1)$. When $n_1 > \lambda_2$, based on the recursive definition of both series and the induction assumption, $PN_{\lambda_1}(n_1)$ contains $PN_{\lambda_2}(n_1)$. ■

Theorem 10 states that for two postal networks of the same dimension, the one with a smaller series number contains the one with a larger series number. For example, $PN_3(5)$ (shown in Figure 1) contains $PN_4(5)$ (shown in Figure 2). The following theorem shows that under certain conditions a network with a larger series number contains a network with a smaller series number.

Theorem 11: Given $PN_{\lambda_1}(n_1)$ and $PN_{\lambda_2}(n_2)$ and $\lambda_1 > \lambda_2$.

1. If $\lceil \frac{n_1}{\lambda_1} \rceil > \lceil \frac{n_2}{\lambda_2} \rceil$ then $PN_{\lambda_1}(n_1)$ contains $PN_{\lambda_2}(n_2)$ as its subgraph.
2. If $\lceil \frac{n_1}{\lambda_1} \rceil = \lceil \frac{n_2}{\lambda_2} \rceil = c$ and $n_1 - (c-1)\lambda_1 \geq n_2 - (c-1)\lambda_2$ then $PN_{\lambda_1}(n_1)$ contains $PN_{\lambda_2}(n_2)$ as its subgraph.

Proof: We reorganize postal networks in series λ in groups, each of which contains λ consecutive networks, i.e., the n th network in series λ is in group $\lceil \frac{n}{\lambda} \rceil$. For example, in series $PN_3(n)$, $\{PN_3(1), PN_3(2), PN_3(3)\}$ forms group 1, $\{PN_3(4), PN_3(5), PN_3(6)\}$ forms group 2, and so on. Therefore, each network in the series λ has a *group number* $\lceil \frac{n}{\lambda} \rceil$ and a *ranking number within the group* $n - (\lceil \frac{n}{\lambda} \rceil - 1)\lambda$. We can first prove the following: Consider two networks, $PN_{\lambda_1}(n_1)$ and $PN_{\lambda_2}(n_2)$, from different series (λ_1 and λ_2 , with $\lambda_1 > \lambda_2$) with the same group number ($\lceil \frac{n_1}{\lambda_1} \rceil = \lceil \frac{n_2}{\lambda_2} \rceil = c$) and the same number within the group ($n_1 - (c-1)\lambda_1 = n_2 - (c-1)\lambda_2$), then $PN_{\lambda_1}(n_1) > PN_{\lambda_2}(n_2)$. This can be proved by induction from group to group using the following fact: The i th network in group j ($j > 1$) is constructed from the network that just precedes it and the i th network in group $j-1$. Then based on the fact that $PN_{\lambda_1}(n_1)$ contains $PN_{\lambda_1}(n'_1)$ as its subgraph if and only if $n_1 > n'_1$, we can prove for the case that if $\lceil \frac{n_1}{\lambda_1} \rceil = \lceil \frac{n_2}{\lambda_2} \rceil = c$ and $n_1 - (c-1)\lambda_1 \geq n_2 - (c-1)\lambda_2$ then $PN_{\lambda_1}(n_1)$ contains $PN_{\lambda_2}(n_2)$ as its subgraph. We select $PN_{\lambda_1}(n'_1)$ such that $\lceil \frac{n_1}{\lambda_1} \rceil = \lceil \frac{n'_1}{\lambda_1} \rceil = \lceil \frac{n_2}{\lambda_2} \rceil = c$ and $n_1 - (c-1)\lambda_1 \geq n'_1 - (c-1)\lambda_1 = n_2 - (c-1)\lambda_2$. Clearly, $PN_{\lambda_1}(n_1)$ contains $PN_{\lambda_1}(n'_1)$ which

in turn contains $PN_{\lambda_2}(n_2)$. We can use the same approach for the case of $\lceil \frac{n_1}{\lambda_1} \rceil > \lceil \frac{n_2}{\lambda_2} \rceil$, we just need to find $PN_{\lambda_1}(n'_1)$ such that $\lceil \frac{n_1}{\lambda_1} \rceil > \lceil \frac{n'_1}{\lambda_1} \rceil = \lceil \frac{n_2}{\lambda_2} \rceil = c$ and $n'_1 - (c-1)\lambda_1 = n_2 - (c-1)\lambda_2$. ■

The case 1 of Theorem 11 states that when both the series number (λ) and *group number* ($\lceil \frac{n}{\lambda} \rceil$) of a postal network PN are larger than the ones of another postal network PN' , PN contains PN' . For example, the series number and group number for $PN_4(5)$ are 4 and 2, respectively. The series number and group number for $PN_3(3)$ are 3 and 1, respectively. Clearly, $PN_4(5)$ contains $PN_3(3)$ as shown in Figures 1 and 2. The case 2 of Theorem 11 states that when the group number of two postal networks are the same, the one with a larger series number and a larger or equal ranking number within the group ($n_1 - (\lceil \frac{n}{\lambda} \rceil - 1)\lambda$) contains the other one. For example, both $PN_4(5)$ and $PN_3(4)$ have the same group number 2. The series number and ranking number within the group for $PN_4(5)$ ($PN_3(4)$) are 4 and 1 (3 and 1), respectively. Therefore, $PN_4(5)$ contains $PN_3(4)$ as shown in Figures 1 and 2.

Corollary: $PN_{\lambda}(n_1)$ contains the n_2 -dimensional hypercube $Q(n_2)$ as its subgraph if and only if $n_1 + \lceil \frac{n_1}{\lambda} \rceil(1 - \lambda) \geq n_2$.

This corollary is derived directly from Theorem 11 and can be used to derive the largest hypercube as a subgraph of a given postal network. For example, for $PN_2(9)$ the largest hypercube is an n_2 -cube such that $9 + \lceil \frac{9}{2} \rceil(1 - 2) \geq n_2$, i.e., $n_2 = 4$.

We have the following simple process to determine all the largest subcubes in $PN_{\lambda}(n_1)$: We start with an n_1 -bit of 0's and then replace n_2 bits (which is determined from the above Corollary) of 0's by *'s such that any two *'s are separated by at least λ . For example, given $PN_4(6)$, based on the above Corollary, the largest subcube is a 2-cube. All the possible 2-cubes in $PN_4(6)$ are *0000*, 0*000*, and *000*0. For example, 2-cube 0*000* (see Figure 2) includes four nodes: 000000, 000001, 010000, 010001.

6 Application: Barrier Synchronization Using Postal Trees

In this section, we first study *postal tree*, $PT_{\lambda}(n)$, which is a special spanning tree of postal network $PN_{\lambda}(n)$. We then look at one of its applications in implementing barrier synchronization, an important type of collective communication in a multicomputer system.

Many numerical problems can be solved using iterative algorithms that successively compute better approximations to an answer, terminating when either the final answer has been computed or the final answer has converged. These algorithms normally require all the iterative processes to be synchronized at the end of each iteration. More specifically, these processes, $process(i)$, can be described by the following algorithm:

```

process( $i$ ):
do not_converged  $\rightarrow$ 
    code to implement process  $i$ 
    barrier (wait for all  $n$  processes to complete)
od

```

In the above algorithm, **barrier** represents a barrier synchronization point which waits for all n processes to complete. This type of synchronization is called *barrier synchronization* [7] because the delay point at the end of each iteration represents a barrier that all processes have to arrive at before any of them is allowed to pass. There are many ways of implementing barriers, among them *tree barrier* [12] is the widely used one. In this approach, two phases are used. In the *reduction* phase, all participating processes engage in a reduction operation by sending and/or receiving synchronization messages following a tree structure where the root node eventually receives the reduced message and decides that all the processes have arrived at the barrier. In the next phase which is called distribution phase, the root node broadcasts a synchronization message following the same tree to inform all the processes to proceed. Normally, the reduction phase is carried out by a collective communication called gather and the distribution phase is implemented by another collective communication called broadcast. Both of which use a spanning tree in the given network to collect and distribute messages.

In order to determine an optimal spanning tree structure for tree barrier, we have to look at the underlying communication mechanism. If communication delay is considered as part of overall performance, the *postal model* [1] can be used which is based on a parameter $\lambda = l/s$, where s is the time it takes for a node to send the next message and l is the network latency. For example, assume $s = 2$ and $l = 4$ then $\lambda = 2$. If the source node sends a message at time 0, it has to wait $s = 2$ time steps before sending the message to another neighbor at time 2. The message sent at time 0 will reach the corresponding neighbor at time $l = 4$. Similarly, the one sent at time 2 will reach another neighbor at time 6, etc. Under the one-port model (in which each node can send and receive one message at a time),

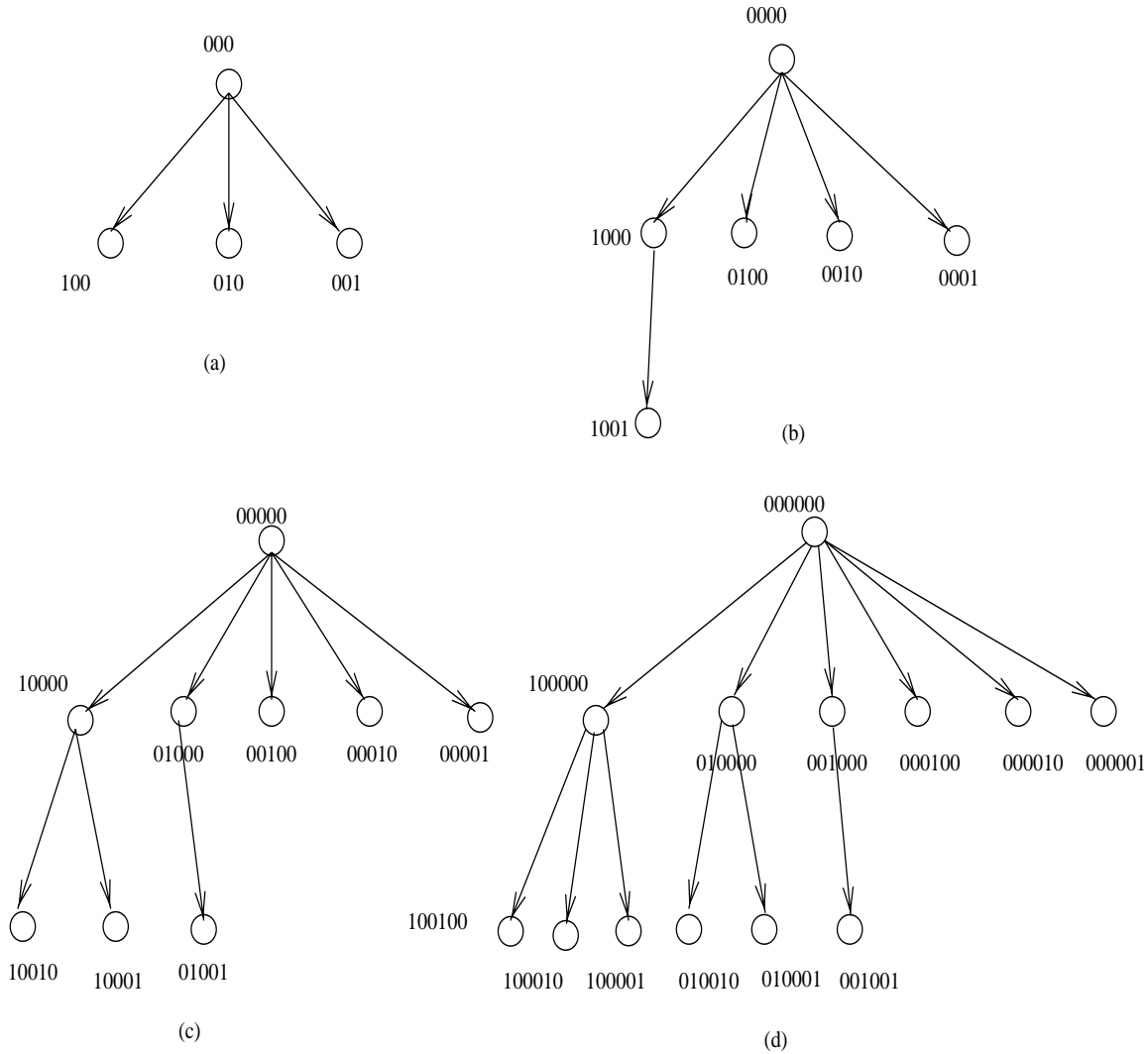


Figure 3: Postal trees: (a) $PT_3(3)$, (b) $PT_3(4)$, (c) $PT_3(5)$, and (d) $PT_3(6)$.

the binomial tree is optimal when $\lambda = 1$. An optimal tree for a specific λ is constructed based on:

$$N_\lambda(n) = \begin{cases} N_\lambda(n-1) + N_\lambda(n-\lambda), & \text{if } n \geq \lambda \\ 1, & \text{otherwise} \end{cases}$$

where $N_\lambda(n)$ represents the maximum number of nodes that can be reached in time n on a one-port model exhibiting λ . Note that before time $n < \lambda$, only the source node has a copy of the message, although several copies have been sent from the source node since time 0 and they are still in transit. Clearly, the parameter λ in the postal model matches the series number λ in the postal network. It is easy to derive the corresponding optimal tree

structure as: $PT_\lambda(n)$ is constructed out of $PT_\lambda(n-1)$ and $PT_\lambda(n-\lambda)$, with the root node of $PT_\lambda(n-\lambda)$ as the child of the root node of $PT_\lambda(n-1)$. As initial conditions, $PT_\lambda(i)$ ($1 \leq i < \lambda$) consists of $i+1$ nodes in a two-level tree. Clearly, $PT_\lambda(n)$ is a spanning tree of $PN_\lambda(n)$. More formally, we have the following definition of a postal tree.

Definition 2: A postal tree $PT_\lambda(n)$ of $PN_\lambda(n)$ is defined as follows:

- (Base) $PT_\lambda(n) = PN_\lambda(n)$ for $1 \leq n \leq \lambda$.
- (Recursion) For $\lambda < n$, a $PT_\lambda(n)$ consists of $PT_\lambda(n-1)$ and $PT_\lambda(n-\lambda)$ by connecting the root of $PT_\lambda(n-\lambda)$ as the child of the root of $PT_\lambda(n-1)$.

Figure 3 shows the structure of postal trees $PT_3(n)$ for $n = 3, 4, 5, 6$. Clearly, $PT_3(n)$ has the same vertex set as $PN_3(n)$. Hence, $PT_3(n)$ is a spanning tree of $PN_3(n)$. Figure 4 shows two different spanning trees in a fully-connected network with eight nodes, when $\lambda = 6$ with $s = 1$ and $l = 6$. Clearly, the binomial tree implementation (Figure 4 (a)) requires 18 units to complete a broadcast and it is no longer optimal. The optimal tree (Figure 4 (b)) needs only 12 units to complete a broadcast. Note that this postal model can be applied to any topology as long as it has sufficient connectivity.

Based on the above analysis, we can see that parameter λ plays an important role in selecting networks from different series. Because λ defines the ratio of the time it takes for a node to send the next message to the communication latency, it should be carefully selected to minimize communication delay especially for collective communication. For example, if we are to select a network of eight nodes from a series and $\lambda = 1$, $PN_1(3)$ is better than $PN_2(4)$. Here we simplify the selection process without considering other factors. In designing an actual multicomputer system, different factors should be considered and weighted against each other.

Note that when a message is relatively long, each node may not be able to forward a copy of a message to a neighbor every s units of time. Because the only port may still be occupied by the same copy of the long message (if this message takes more than s units of times to pass through the port). In this case, our conclusion on the optimal tree structure is no longer valid. In fact, the structure of an optimal tree varies depending on the length of the message and the actual network interface implementing the one-port model (see [4] and [8]).

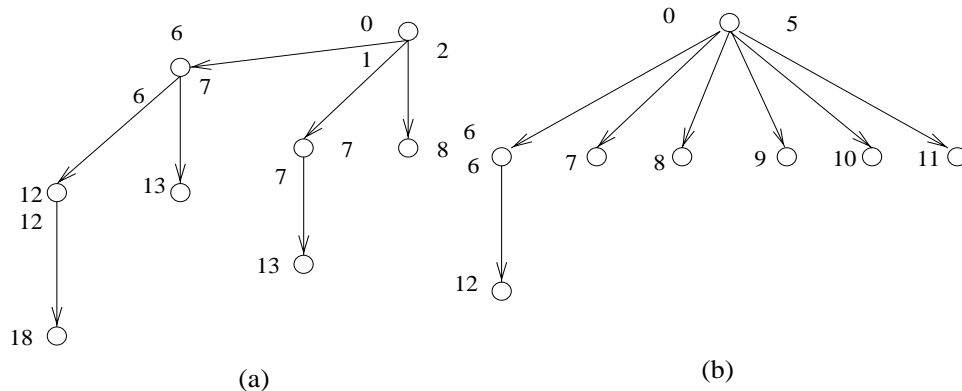


Figure 4: Comparison with $\lambda = 6$: (a) binomial trees $PT_1(3)$ and (b) optimal spanning tree $PT_6(6)$.

7 Conclusions

We have proposed a series of networks called postal networks that contain both hypercubes and Fibonacci cubes as their special cases. We have shown that these networks still maintain some desirable properties of hypercubes. Relationships between different networks have also been studied. Postal networks can be used to complement several existing network topologies such as hypercubes and Fibonacci cubes. Because each postal network can also be considered as an incomplete hypercube after some nodes become faulty, the study of postal networks will also provide some insights on the behavior of the cube-based systems operated in a degraded mode.

Our future work will focus on embedding other popular structures such as binary trees in postal networks. Other applications of postal networks will also be exploited. Another interesting issue is to determine postal networks from a given faulty hypercube.

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